

REVIEW

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# A systematic review of non-coding RNA therapeutics in early clinical trials: a new perspective against cancer

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## Abstract

Targeting non-coding RNAs (ncRNAs), including microRNAs (miRNAs) and long non-coding RNAs (lncRNAs), has recently emerged as a promising strategy for treating malignancies and other diseases. In recent years, the development of ncRNA-based therapeutics for targeting protein-coding and non-coding genes has also gained momentum. This review systematically examines ongoing and completed clinical trials to provide a comprehensive overview of the emerging landscape of ncRNA-based therapeutics. Significant efforts have been made to advance ncRNA therapeutics to early clinical studies. The most advanced trials have been conducted with small interfering RNAs (siRNAs), miRNA replacement using nanovector-entrapped miRNA mimics, or miRNA silencing by antisense oligonucleotides. While siRNA-based therapeutics have already received FDA approval, miRNA mimics, inhibitors, and lncRNA-based therapeutics are still under evaluation in preclinical and early clinical studies. We critically discuss the rationale and methodologies of ncRNA targeting strategies to illustrate this rapidly evolving field.

**Keywords** RNA-therapeutics, Non-coding RNA, ncRNA therapeutics, miRNA therapeutics, lncRNA therapeutics, Early clinical trials, Cancer therapy, Systematic review

## Background

“Non-coding RNA (ncRNA)-based therapeutics” refer to therapeutic tools that utilize ncRNA molecules as drugs to target protein-coding or non-coding genes [1]. On the other hand, several strategies aim to consider ncRNAs as targets for therapeutic intervention [2]. RNA molecules produced by the non-coding regions constitute most of the genome (about 98%) and, despite being previously

considered as non-functional “junk” DNA, are now recognized as crucial regulators of endogenous processes. Their alterations can cause pathological effects, making them suitable for clinical targeting [3].

NcRNAs are conventionally classified based on their length into small ncRNAs (<200 nucleotides) [4], including the most studied family of microRNAs (miRNAs) [5], or long ncRNAs (lncRNAs) (>200 nucleotides) [6, 7]. In recent years, the crucial role of various classes of ncRNAs in regulating gene expression and protein functions has emerged [8, 9]. Dysregulation of these molecules has been associated with several diseases, including cancer [10–12]. NcRNAs can affect cancer development by regulating key signaling pathways involved in cellular processes such as proliferation, apoptosis, invasion, and metastasis [13, 14]. However, while there is growing

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evidence on the relevance of ncRNA involvement in cancer onset, progression [15], and treatment sensitivity/response [16–20], the translation of this knowledge into novel therapeutics has been hampered by technical and regulatory constraints [3, 21].

Even though the clinical development of ncRNA therapeutics is still in its infancy, modulation of the ncRNA landscape has emerged as a promising anticancer strategy through different approaches [22–24]. These mainly include: *i*) small interfering RNAs (siRNAs) that can silence specific coding and non-coding genes by targeting and degrading the corresponding mRNA molecules [25, 26], *ii*) miRNA mimics or inhibitors, depending on the need to replace a tumor-suppressive miRNA or inhibit an oncogenic miRNA (onco-miR) [27, 28], *iii*) antisense oligonucleotides (ASOs), which are short, synthetic single-stranded nucleic acids that can bind to specific RNA molecules and modulate gene expression by blocking translation or promoting degradation of target RNA [29–31], *iv*) lncRNA targeting [32], for example, via CRISPR-Cas9 editing technology [33] or by small molecules that bind within their 3D shape with stabilizing or destabilizing effects [34–36], and *v*) manipulation of circular RNAs, a class of ncRNAs that forms covalently closed continuous loops [37].

Research in RNA therapeutics is rapidly evolving [38, 39], holding great promise for treating a wide range of diseases [1], including vascular disorders [40, 41], neurodegenerative diseases [42], and cancer [43–46]. Moreover, miRNAs and lncRNAs are emerging as biomarkers for several cancer types [47–54]. However, challenges such as effective delivery, risk of off-target effects, and safety concerns need to be further addressed for successful translation into more advanced clinical development paths [3].

Here, we review the most recent progress in the use of ncRNA therapeutics and strategies targeting ncRNAs for clinical purposes, including the initial evidence of safety and clinical activity in early clinical trials.

### **Molecular bases for intervention on non-coding RNAs**

The clinical application of ncRNA-based therapeutics remains a significant challenge [55] due to issues with efficacy, specificity, and delivery in humans. These challenges have prompted the medical and scientific community to focus on different strategies to facilitate delivery into cells. These approaches include the use of lipid-based carriers such as lipid nanoparticles or liposomes (vesicles composed of lipid bilayers, designed for optimal encapsulation and protection of bioactive small ncRNAs [56]) as well as the modification of the chemical structure of ncRNAs-based drugs to enhance their stability and bioavailability [57].

Here, we discuss the chemical and biological features of ncRNA drugs used in clinical trials, including siRNA, saRNA (small-activating RNA), miRNA mimics and inhibitors, and ASO-based strategies (Fig. 1). The rationale underlying the development of specific ncRNA therapeutics depends on the specific target [1]. For example: *i*) siRNAs are used for targeting cytoplasmic RNAs or triggering transcriptional silencing via histone modification and chromatin remodeling in the nucleus by binding to promoter regions [58], *ii*) saRNAs are preferred for counteracting the downregulation of silent tumor suppressor genes and triggering gene production by targeting promoter sequences [59], *iii*) miRNA mimics or inhibitors are administered when multitargeting is required, as they can bind different effectors in key pathways [60], and *iv*) ASOs efficiently target both nuclear or cytoplasmic mRNAs thanks to the ubiquitous distribution of RNase H1, which promotes ASO-mediated transcript degradation. ASOs may also modulate gene expression via occupancy-mediated mechanisms, alter RNA splicing using splice-switching ASOs to induce exon skipping or inclusion, inhibit miRNA binding to target mRNAs, lead to nonsense-mediated decay, and inhibit or activate translation [61].

Specific features of each strategy adopted in clinical settings are discussed below.

### **Small interference RNA-based therapeutics**

siRNA-based therapeutics involve the use of synthetic siRNAs, which are duplexes of complementary RNA oligonucleotides that specifically target and silence gene expression post-transcriptionally [62]. They are also widely developed for silencing lncRNAs and circRNAs [63]. Due to their highly degradable structure, siRNAs for therapeutic use need to be encapsulated into lipid nanoparticles (LNPs) to achieve specific delivery into cells [64]. Various nanoparticles have been developed with different chemistries and are presently used through different administration routes such as nasal, cutaneous, subcutaneous, and more. Despite numerous siRNAs targeting mRNAs of oncogenes under investigation in cancer, only few LNP-siRNAs have recently received FDA approval [65]. Moreover, only two siRNAs targeting ncRNAs (lncRNAs and circRNAs) have been investigated in preclinical studies but have not yet reached clinical trials.

One example is the siRNA-LNP targeting lncRNA LINC01257, proposed as a novel and safe therapeutic approach for t(8;21) Pediatric Acute Myeloid Leukemia [66]. Another recently approved LNP-siRNA effectively targets Hsa\_circ\_0136666, identified as a competitive up regulator of the DNA-PK catalytic subunit (PRKDC) expression by sponging miR-375-3p. PRKDC binds and phosphorylates PD-L1, promoting its stability and

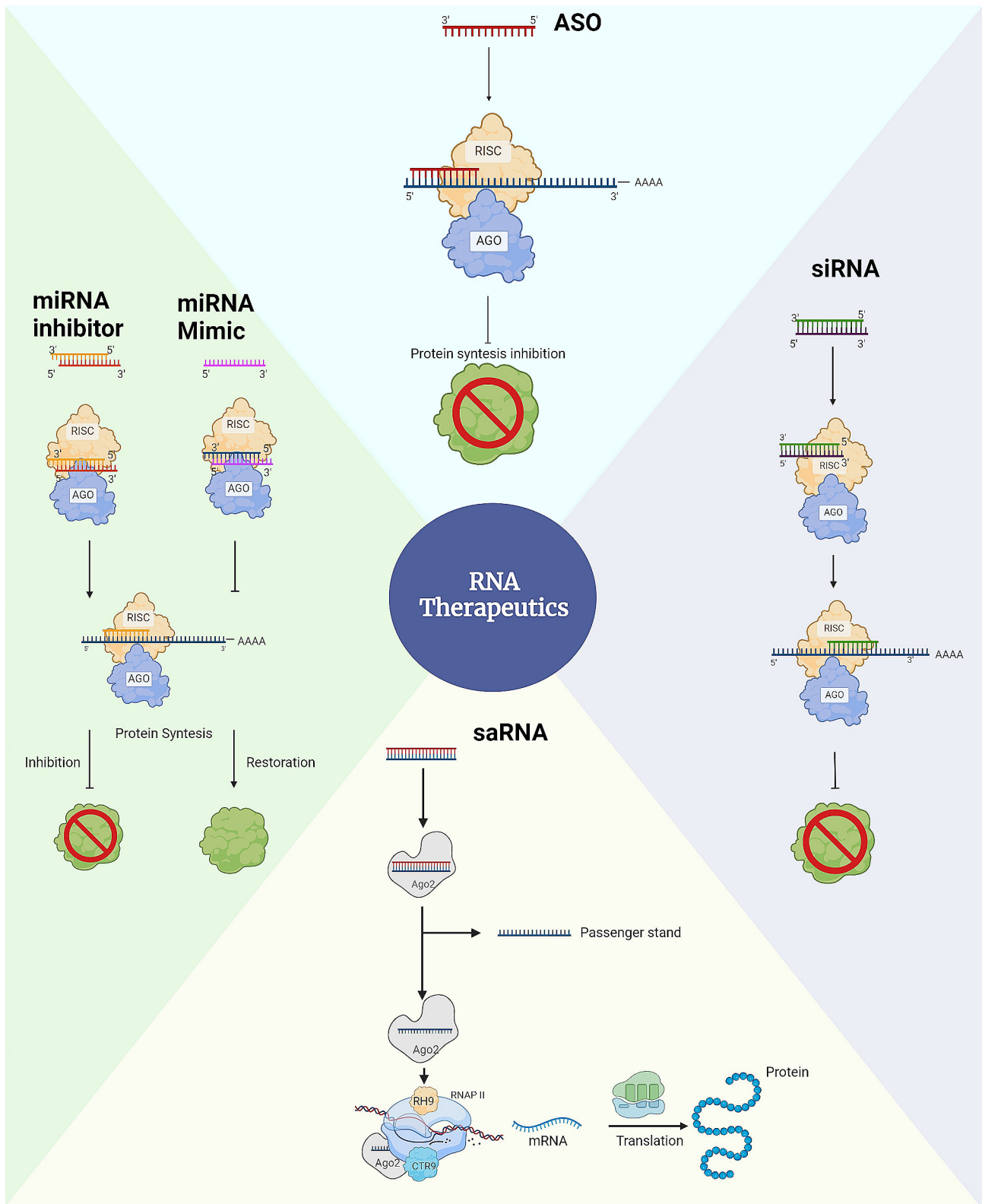


Fig. 1 ncRNA therapeutics used in clinical trials (Created with BioRender.com)

thus tumor immune escape. The LNP-siRNA effectively induces the knockdown of Hsa\_circ\_0136666, improving anti-PD-L1 drug efficacy in an in vivo model of gastric cancer [67].

An EphA2-targeting DOPC-encapsulated siRNA (EphA2 siRNA) delivered via neutral liposome (1,2-dioleoyl-sn-glycero-3-phosphatidylcholine, DOPC) is a formulation under evaluation for safety and tolerability (toxicity profile) (NCT01591356). Other technologies include AtuPLEX, based on a siRNA-lipoplex directed against protein kinase N3 (PKN3), NBF-006 (evaluated in NCT03819387), a glutathione S-transferase Pi (GSTP) siRNA encapsulated within a LNP, and TKM-080301, an LNP formulation comprising four lipids and a synthetic, double-stranded siRNA directed against human PLK1 mRNA.

STP705 (NCT04844983) takes advantage of dual-targeted inhibitory properties to directly knock down both TGF- $\beta$ 1 and COX-2 gene expression. Based on polypeptide nanoparticle (PNP)-enhanced delivery and second-generation GalNAc conjugation, this product has received multiple Investigational New Drug (IND) approvals from both the U.S. Food and Drug Administration (FDA) and the Chinese National Medical Products Administration (NMPA), for the treatment of cholangiocarcinoma, non-melanoma skin cancer, and hypertrophic scars. Different pipeline programs for various products prioritized by STP705 are currently ongoing: late-stage clinical development for Squamous Cell Carcinoma in situ (isSCC), completion of phase 2 for Basal Cell Carcinoma (BCC), and phase 1 for fat remodeling. Additionally, STP705 has received Orphan Drug Designation for treating cholangiocarcinoma (CCA) and primary sclerosing cholangitis (PSC).

The approach used for APN401, a first-in-class personalized cellular therapy, involves manufacturing cells derived from patient blood cells where the APN401 siRNA blocks the intracellular master immune checkpoint Cbl-b, to strengthen tumor-specific immune reactivity and killing of tumor cells. The transfected cells are immediately re-infused into patients in an ambulatory setting [68].

siRNAG12D-LODER™ is a proprietary miniature biodegradable polymeric matrix containing siRNAs for the mutated KRAS oncogene, KRAS G12D (siG12D) [69], with potential antitumor activity. Upon intratumoral injection, siG12D is released locally, preventing the translation of KRAS proteins and potentially inhibiting the growth of tumor cells overexpressing KRAS, which is mutated in over 90% of human pancreatic ductal adenocarcinomas and is associated with tumor cell proliferation and reduced survival.

An original approach has been used to deliver RNA interference (RNAi) in GBM cells. NU-0129 is

a brain-penetrant RNAi-based spherical nucleic acid (SNA) consisting of gold nanoparticle cores covalently conjugated with radially oriented and densely packed siRNA oligonucleotides specific for the GBM oncogene Bcl2Like12<sup>70</sup>.

#### Small activating RNA-based therapeutics

The saRNA MTL-CEBPA is a first-in-class saRNA oligonucleotide drug designed to upregulate the transcription factor C/EBP- $\alpha$ . Encapsulated in SMARTICLES liposomal nanoparticles, this drug acts as a master regulator of hepatic and myeloid functions as well as multiple oncogenic processes [71].

A unique approach has been used to develop TB1-1301. This is a gene-modified T cell product containing a NY-ESO-1 specific T cell receptor (TCR) introduced via the MS3II-NY-ESO1-SiTCR retroviral vector. This vector encodes TCR  $\alpha$  and  $\beta$  chains that recognize a NY-ESO-1 derived epitope (amino acids 157–165: SLLMWITQC) presented in the context of HLA-A\*02:01 and HLA-A\*02:06 molecules. Additionally, the vector encodes siRNAs homologous to the constant region sequence of the endogenous, but not the transduced, TCR  $\alpha$  and  $\beta$  chain mRNAs. These siRNAs increase the expression of the transduced TCR [72].

#### MicroRNA-based therapeutics

MiRNAs are the most extensively studied class of ncRNAs for therapeutic purposes [60, 73, 74]. MiRNA-based therapies can regulate miRNA expression through two distinct strategies: *i*) replacing downregulated miRNAs and *ii*) inhibiting upregulated miRNAs to reestablish their basal levels. Unlike siRNAs, which selectively suppress the expression of a specific target mRNA, miRNAs have the ability to target multiple genes, thereby aiding in the regulation of entire pathways [75].

The first strategy involves designing synthetic oligonucleotides that mimic endogenous miRNAs once they reach the cells. This requires optimizing their effectiveness, specificity, and delivery methods. To meet these challenges, various delivery systems have been developed, including polymeric vectors, lipid-based carriers, biomaterials with different charges (positive, negative, or neutral), and inorganic materials.

Several encapsulated miRNAs have progressed in clinical development. In 2017, two phase 1 clinical studies explored the safety of MRX34 and TargomiRs. MRX34, sponsored by Mirna Therapeutics, Inc., is a miR-34a encapsulated in liposomal vesicles. Although the trial was closed early due to serious immune-mediated adverse events, a dose-dependent modulation of relevant target genes was demonstrated [76].

TargomiRs are minicells (EnGeneIC Dream Vectors) loaded with miR-16-based mimics to counteract the loss

of miR-15 and miR-16 family miRNAs in mesothelioma cells. These TargomiRs target EGFR, which is expressed in 44–97% of malignant pleural mesothelioma cells [77]. Specifically, bacterial minicells are anucleate nanoparticles produced by inactivating the genes that control normal bacterial cell division, allowing efficient packaging of cytotoxic drugs and internalization into cancer cells [78].

Recently, a phase 1 study evaluated the toxicity and pharmacokinetics of INT-1B3, a LNP-formulated miR-193a-3p, in advanced solid tumors (NCT04675996). These third-generation LNPs were developed by InteRNA Technologies, a company specializing in cancer therapeutics based on a proprietary technology platform for the rapid identification and validation of therapeutic miRNAs.

A significant challenge in using miRNAs as therapeutics has been addressed by introducing multiple chemical modifications to miRNA mimics oligonucleotides, enhancing their stability, delivery, and efficient cellular uptake in vivo. Among synthetic molecules, morpholino-miRNAs have been developed. These are synthetic neutral-charged oligomers with backbones composed of morpholine rings connected by phosphorodiamidate linkages, providing stability and preventing enzymatic degradation. Although morpholino-miRNAs specifically bind to RNA target sequences, extensive exploratory work with these molecules has not yet led to clinical evaluation.

The strategy of inhibiting upregulated miRNAs primarily uses ASOs, which have proven to be a powerful approach for targeting both coding and ncRNAs [79].

#### **Antisense oligonucleotide-based therapeutics**

Antisense technology involves identifying Watson-Crick base pairing sites on target RNA to modify gene expression [80]. Synthetic single-strand antisense oligonucleotides (ssASOs) are RNA or DNA molecules, typically 13–22 nucleotides long, which bind to complementary nucleic acid sequences, impairing their function. This emerging class of drugs directly regulates disease-causing genes and their variants.

Like miRNA mimics, translating ASOs into clinical successes requires continuous technological advancements to overcome formulation, pharmacological, and toxicological challenges. Several chemical modifications of the ASO backbone have been implemented, including phosphorothioates (PS), locked nucleic acids (LNA), morpholinos, 2'-O-methoxyethyl (2'-MOE), and peptide nucleic acids (PNAs), to enhance efficient nucleic acid targeting [81].

In this section, we provide an overview of antisense technology used to develop oligonucleotides under clinical trial evaluation.

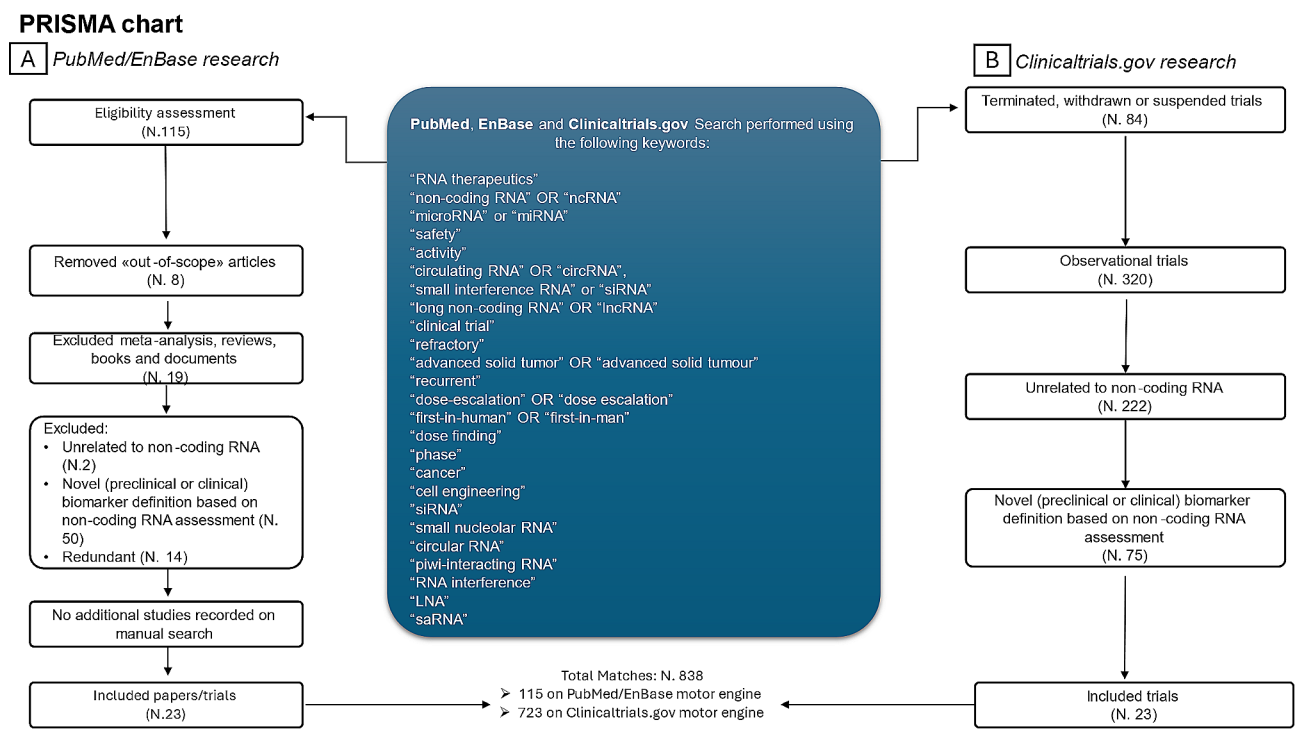
PS modification involves replacing one of the non-bridging oxygen atoms in the phosphate backbone with a sulfur atom. This modification improves pharmacokinetics (PK) and increases in vivo efficacy. PS-modified ASOs are typically introduced alongside other structural changes, such as LNA. LNA modification involves altering the ribose ring of the nucleotide with a 2'-O,4'-C-methylene bridge, creating a “locked” conformation. This enhances the stability and binding affinity of LNA-oligonucleotides to their complementary RNA or DNA targets.

Recent designs of ASOs include gapmers, which contain a central block of DNA nucleotides flanked by LNA sequences. Gapmers are used for gene silencing by stimulating RNA cleavage through the recruitment of RNase H. The key features of LNA oligonucleotides include enhanced stability, as the locked conformation increases the thermal melting point of the oligonucleotide when bound to its complementary sequence, and high binding affinity, leading to increased specificity. LNA-ASOs with fully PS-modified backbones are particularly suitable for therapeutic use. The increased thermal stability of LNA-oligonucleotide and target duplexes makes them more resistant to nuclease degradation, contributing to a prolonged half-life in biological systems. Additionally, the higher binding affinity and stability translate into increased potency and efficacy of LNA-PS-modified ASOs with efficient and specific target binding, crucial for therapeutic success.

It is notable that LNA modifications provide better discrimination between mismatched and perfectly matched base pairs. This increased specificity is advantageous in preventing off-target effects and minimizing unintended interactions with non-target RNA sequences, an essential consideration for minimizing toxicities in therapeutic applications [82].

The first LNA inhibitor explored in a pilot trial was EZN-2968 (NCT00466583), targeting hypoxia-inducible factor-1 alpha (HIF-1 $\alpha$ ) mRNA. Later, LNA antisense technology was successfully applied to target ncRNAs beyond cancer applications. Both ssASOs and double-strand ASOs (siRNAs) targeting coding RNA have received clinical approval for various diseases, while ASOs targeting ncRNA candidates are only recently undergoing early clinical trials.

The first LNA inhibitor of an oncogenic ncRNA evaluated in patients with refractory solid tumors was LNA-miR-221, a 13-mer LNA inhibitor of miR-221 with a fully PS-modified backbone [83, 84] developed for clinical use. This agent demonstrated anti-tumor activity against human xenografts in mice [85] and favorable toxicokinetics in rats [86] and monkeys [47]. Importantly, the promising biomodulator showed anti-tumor activity in a recently completed first-in-human clinical trial, along



**Fig. 2** PRISMA flow chart showing the inclusion and exclusion criteria for selecting the studies discussed in our systematic review

with an excellent safety profile in treated cancer patients [87, 88].

ASOs are known to undergo rapid renal clearance, which has delayed their clinical translation. However, the PK properties of LNA-i-miR-221, including binding to plasma proteins and reduced clearance [48, 49], result in favorable PK suitable for multiple allometric interspecies scaling methods successfully used to infer human pharmacokinetics. This early trial has paved the way for a wider clinical evaluation of ncRNA inhibitors in cancer treatment.

Although LNA technology offers significant advantages, it is important to note that the choice of chemical modification depends on the specific requirements of the intended application. Different modifications may be preferred based on factors such as the targeted RNA sequence, delivery method, and therapeutic goals.

**Emerging picture of early clinical trials based on ncRNA-therapeutics in cancer**

In the timeframe from 2016 to 2023, according to the Preferred Reporting Items for Systematic Review and Meta-Analyses (PRISMA) statement, a comprehensive search from available online databases (PubMed, Embase, MedLine, ClinicalTrial.gov) was performed, using following keyword: “RNA therapeutics”, “non-coding RNA” OR “ncRNA”, “microRNA” or “miRNA”, “safety”, “activity”, “circulating RNA” OR “circRNA”, “small interference RNA” or “siRNA”, “long non-coding RNA” OR “lncRNA”, “clinical

**Table 1** PICO model for systematic review. PICO stands for patient/population, intervention, comparison and outcomes

Population	Solid or haematological refractory tumors
Intervention	NcRNA; miRNA; siRNA; circRNA; saRNA; LNA.
Comparator	None
Outcome	Safety or antitumor activity

trial”, “refractory”, “advanced solid tumor” OR “advanced solid tumour”, “recurrent”, “dose-escalation” OR “dose escalation”, “first-in-human” OR “first-in-man”, “dose finding”, “phase”, “cancer”, “cell engineering” and others as specified in Fig. 2. Two investigators (AC and FL) independently performed the consultation and records selection, and disagreements were solved after consensus.

Eligibility criteria for studies inclusion were clinical trials enrolling patients with cancer treated with ncRNA therapeutics. Ongoing and completed phase 1, 2 or 3 trials were included. Exclusion criteria were preclinical trials only, non-English reports, no RNA therapeutic involvement, review and/or meta-analysis articles, studies that investigated only diagnostic, prognostic and/or predictive ncRNAs’ role. PICO (patient/population, intervention, comparison and outcomes) design of Inclusion criteria is reported in Table 1.

23 trials emerged from our systematic review (Fig. 2): 7 ongoing and 1 suspended clinical trials, 14 completed clinical trials, 1 clinical trial of unknown status. No additional studies have been recorded on manual search.

Solid and/or hematological malignancies were involved (Fig. 3).

Several studies were observational but, especially among the more recent, there were interventional trials, almost all of phase 1, investigating the therapeutic role of molecules like miRNA mimics, siRNAs, and LNA-ASO. The most common point among these studies was the therapeutic use of ncRNA technology for the treatment of refractory/relapsed solid cancer patients (Table 2).

#### Completed trials

In a recently published phase 0 clinical trial, Kumthekar et al. demonstrated the efficacy of siBcl2L12-SNA (NU-0129) due to its capability of brain penetration in patients with refractory glioblastoma (GBM) [70]. This trial revealed that siRNA NU-0129 uptake by GBM cells decreases the levels of the oncogene Bcl2L12, demonstrating that nanoconjugates could benefit precision medicine treatments for GBM.

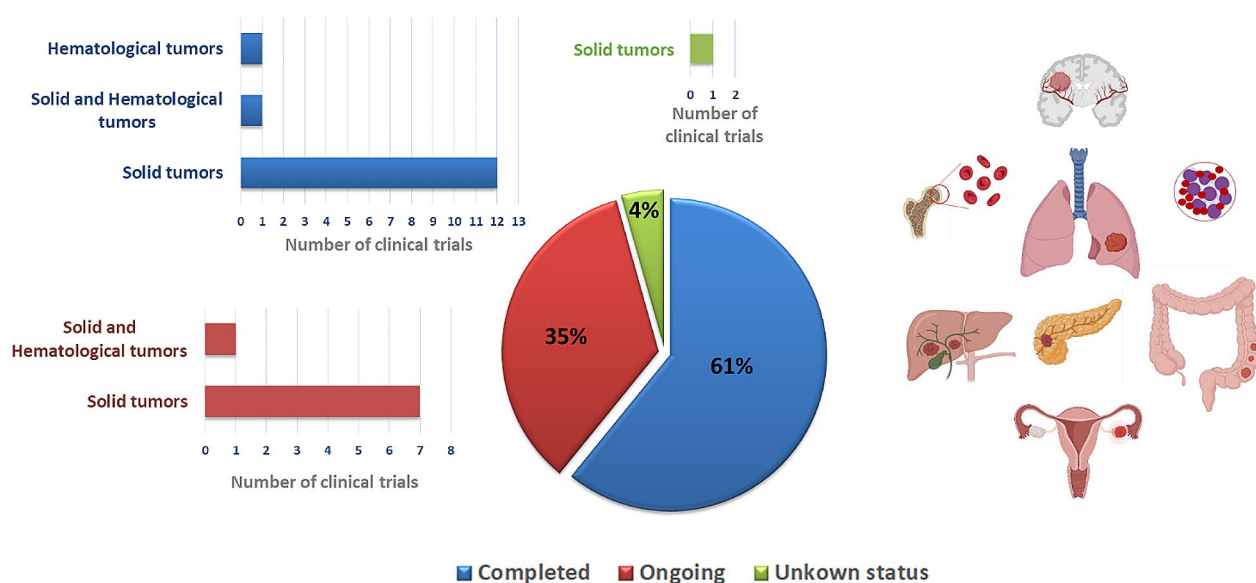
Lavie et al., in a phase 1/2a trial, demonstrated the safety and potential efficacy of BC-819 (H19-DTA) in patients with ovarian or primitive peritoneal cancer with hyperexpression of the riboregulator ncRNA H19, who were considered platinum refractory/resistant [89]. BC-819 is a double-stranded DNA plasmid that drives the expression of the diphtheria toxin A (DT-A) gene under the regulation of the H19 promoter sequence. The use of a ncRNA overexpressed in tumor cells as a regulator of DT-A expression ensures the selective initiation of the toxin as a targeted treatment and allows

the stratification of patients that may benefit from the therapy according to H19 expression. Although the best response according to RECIST criteria was stable disease, the median survival was about 6.5 months in the experimental cohort (dose of BC-819 administered 240 mg), which appears promising in this unfavorable setting.

Hong et al., in a dose-escalation phase 1 clinical trial, evaluated the role of a liposomal mimic of miR-34a (MRX34) in patients with refractory solid tumors. This study aimed to determine the recommended phase 2 dose of MRX34 and evaluate its safety in patients with advanced solid tumors, with dexamethasone premedication. The safety profile was considered acceptable, especially with dexamethasone premedication, even if four deaths occurred during the trial. The recommended dose for a phase 2 clinical trial was defined at 70 mg/m<sup>2</sup> and 93 mg/m<sup>2</sup> for hepatocellular carcinoma (HCC) and non-HCC solid tumors, respectively [90]. This ncRNA-therapeutic was also tested by Beg et al. in a published phase 1 clinical trial, which recruited patients with refractory solid tumors, including HCC. The maximum tolerated dose (MTD) was 110 mg/m<sup>2</sup> for non-HCC patients, with good antitumor activity and a safety profile enhanced by dexamethasone premedication. The phase 1 clinical trial has reached completion [76].

Ishihara et al. tested TBI-1301 in patients with refractory solid tumors and enhanced expression of NY-ESO-1. This trial demonstrated a good safety profile, also confirming that the administration of T cells with silenced endogenous TCR and the enhanced affinity of NY-ESO-1

### RNA therapeutics-based clinical trials



**Fig. 3** Pie chart showing the status of ncRNA therapeutics – based clinical trial emerged from our systematic review. Histogram bars show the number of studies for each tumor type (Partially created with [BioRender.com](#))

**Table 2** Summary table of the ongoing and completed clinical trials based on ncRNA-therapeutics

First Author OR NCT ID	Type	Drug	Target	Mechanism	Administration	Patients (n.)	Disease	Status
Hong et al.	Phase 1	MRX34 (liposomal mimic of miR-34a) (contextual to dexamethasone premedication)	miR-34a	Mimic	Intravenously, daily D1-5 Q3W along with dexamethasone pre-medication twice daily D1-7 Q3W	85	Different solid tumors	Completed
Lavie et al.	Phase 1/2a	BC-819 (H19-DTA)	H19	Inhibitor	Intraperitoneal Cohort 1: 60 mg D1, 8, 15 Q4W for 3 courses Cohort 2: 120 mg D1, 8, 15 Q7W for 2 courses Cohort 3: 240 mg D1, 8, 15 Q7W for 2 courses	14	Platinum-resistant ovarian/peritoneal cancer with positive H19 expression	Completed
Van Zandwijk et al.	Phase 1	TargomiRs	miR-15/16	Mimic	Intravenously Cohort 1: 5 * 109 TargomiRs weekly for 8 courses (for patients with IL-6 ≥ 5 pg/ml 109 TargomiRs week 1, 2 * 109 TargomiRs week 2, then 5 * 109 TargomiRs) Cohort 2: 5 * 109 TargomiR2 twice weekly (for patients with IL-6 ≥ 5 pg/ml 109 TargomiRs twice weekly week 1, 2 * 109 TargomiRs twice weekly week 2, 5 * 109 TargomiRs twice weekly week 3-8) Cohort 3: 109 TargomiRs weekly week 1, 2 * 109 TargomiRs weekly week 2, 5 * 109 TargomiRs weekly week 3-8 Cohort 4: 2.5 * 109 TargomiRs twice weekly Cohort 5: 109 TargomiRs weekly week 1, 2 * 109 weekly week 2, 5 * 109 TargomiRs weekly week 3-8, 4 mg dexamethasone week 1-4, 2 mg week 5-6, 1 mg week 7-8	27	Malignant pleural mesothelioma	Completed
Ishihara et al.	Phase 1	TBI-1301 in patients with expression of NY-ESO-1	T cells	Immune stimulation	Intravenously Cohort 1: 5 * 108 cells Cohort 2: 5 * 109 cells Preconditioning: cyclophosphamide 1500 mg/m2	9	Refractory solid tumors	Completed
Beg et al.	Phase 1	MRX34	miR-34a	Mimic	Intravenously Twice weekly for 3 weeks with a week of rest. 3+3 dose escalation design (10, 20, 33, 50, 70, 93, 124 mg/m2 (if tolerated, additional dose of 110 mg/m2)	47	Refractory solid tumors (including hepatocellular carcinoma)	Completed
Triozi et al.	Phase 1	siRNA: APN401	Cbl-b	Inhibitor	Intravenously 5, 10 or 50 * 105/kg, single dose	17	Advanced solid neoplasms	Completed
Golan et al.	Phase 1	siRNA: siG12D	KRAS G12D	Inhibitor	Intratumoral injection 1, 2 or 8 doses	15	Pancreatic cancer	Completed
P.Kumthekar et al.	Early Phase 1	siRNA: NU-0129	Bcl2L12	Inhibitor	Intravenously 1/50 of NOAEL	8	Glioblastoma and gliosarcoma	Completed
El Dika et al.	Phase 1	siRNA: TKM-080301	PLK1	Inhibitor	Intravenously D1, 8, 15 Q4W 3+3 dose escalation design	1	Primary and secondary liver cancer	Completed



**Table 2** (continued)

First Author OR NCT ID	Type	Drug	Target	Mechanism	Administration	Patients (n.)	Disease	Status
Sarker D. et al	Phase 1	saRNA: MTL-CEBPA**	C/EBP-α	Transcriptional upregulator	Intravenously Twice or thrice weekly over 3 weeks followed by a week of rest alone or in association with sorafenib in combination of sequential (two cycles of MTL-CEBPA followed by two cycles of sorafenib)	75	Hepatocellular carcinoma	Completed
Schultheis et al.	Phase 1/2	siRNA: Atu027	Protein kinase N3	Inhibitor	Intravenously Single treatment followed by a 3-week safety period followed by 8 doses twice weekly Q4W 10 escalating doses 3 + 3 dose escalation design (0.001, 0.003, 0.009, 0.018, 0.036, 0.072, 0.12, 0.18, 0.253, 0.336 mg/kg)	29	Pancreatic cancer	Completed
Tassone et al.	Phase 1	LNA-i-miR-221	miR-221	Inhibitor	Intravenously D1-4, 29-32 5 escalating doses 3 + 3 dose escalation design (0.5, 1, 2, 3, 5 mg/kg)	17	Advanced solid neoplasms	Completed
NCT00466583	Phase 1	LNA: EZN-2968	HIF-1α mRNA	Inhibitor	Intravenously D1, 8, 15 Q6W up to 2 dose levels	52	Advanced solid neoplasms and lymphoma	Completed
NCT00285103	Phase 1/2	LNA: SPC2996	Bcl-2	Inhibitor	Intravenously D1-4, 29-32 5 escalating doses 3 + 3 dose escalation design (0.5, 1, 2, 3, 5 mg/kg)	46	Chronic Lymphocytic Leukaemia	Completed
NCT04675996	Phase 1	miRNA: INT-1B3	miR-193a-3p	Mimic	Intravenously Twice weekly Q3W	80	Advanced solid neoplasms	Terminated for insufficient findings
NCT01591356	Phase 1	siRNA: EPHARNA	EphA2	Inhibitor	Intravenously D1, 4 Q3W	76	Advanced solid neoplasms	Ongoing
NCT05499013	Phase 1/2	siRNA: SLN124	Trpss6	Inhibitor	Subcutaneous	65	Polycythemia vera	Ongoing
NCT03608631	Phase 1	KRAS G12D siRNA	KRAS G12D	Inhibitor	Intravenously D1, 4, 10 Q2W for 3 courses (3 more courses in the case of response)	15	Pancreatic cancer	Ongoing
NCT03819387	Phase 1	siRNA: NBF-006	GSTP	Inhibitor	Intravenously D1, 8, 15, 22 Q6W	44	Non-small cell lung, pancreatic, colorectal cancer	Ongoing
NCT04844983	Phase 2	siRNA: STP705	TGF-beta 1 and COX-2	Inhibitor	Intratutormal injection 30, 60-90 µg Q6W	100	Cutaneous squamous cell carcinoma	Ongoing
NCT04105335	Phase 1	saRNA: MTL-CEBPA**	C/EBP-α	Transcriptional upregulator	Intravenously 3 escalating doses 3 + 3 dose escalation design (70, 98, 130 mg/m <sup>2</sup> ) in association with pembrolizumab 200 mg Q3W (in expansion arm D8)	108	Advanced solid neoplasms	Ongoing

**Table 2** (continued)

First Author OR/NCCT ID	Type	Drug	Target	Mechanism	Administration	Pa-tients (n.)	Disease	Status
NCT04710641	Phase 2	saRNA: MTL-CEBPA**	C/EBP- $\alpha$	Transcriptional upregulator	Intravenously 130 mg/m <sup>2</sup> Q3W alone or in association with sorafenib 400 mg tablets twice daily starting at C1D8	150	Hepatocellular carcinoma	Ongoing
NCT01676259	Phase 1	siRNA: siG12D	KRAS G12D	Inhibitor	Intratumora impatation	80	Pancreatic cancer	Unknown

\*\* In association with other drugs

TCR-T cells was safe, with the exception of the incidence of grade 3 lung toxicity [72].

El Dika et al. used TKM-080301, LNP containing a siRNA that targets the PLK1 gene product, in patients with primary or secondary liver cancer in good clinical conditions (Performance Statis, according to ECOG scale, <2, Child-Pugh class A). The MTD was reached at 0.6 mg/kg with a favorable safety profile, albeit with limited anti-tumor activity [91].

Van Zandwijk et al., in a phase 1 clinical trial, used TargomiRs in weekly administrations in patients with malignant pleural mesothelioma. The safety profile was good. These results suggest a potential role for TargomiRs in combination with chemotherapy or immune checkpoint inhibitors (ICI) [77].

Sarker D. et al. in the phase 1 trial investigates the effect mediated by the saRNA MTL-CEBPA, that upregulates transcription factor C/EBP- $\alpha$  (CCAAT/enhancer-binding protein alpha), alone or in combination with sorafenib in HCC [71].

Tassone et al., in a recent dose-escalation, first-in-human phase 1 clinical trial, investigated both the safety profile and the antitumor activity of LNA-i-miR-221, an original LNA inhibitor of miR-221, a miRNA well known for its oncogenic role, for the first time in cancer patients with advanced refractory solid tumors. The safety profile was excellent, and the MTD was not reached. Interesting data emerged from the evaluation of antitumor activity, with tumor regression and a maintained major response in a colon cancer patient. Favorable pharmacokinetic and pharmacodynamic profiles were also demonstrated, with in vivo modulation of canonical miR-221 targets [87].

Trionzi et al. conducted a phase 1 trial that disclosed the potential role of APN401 (suspension of autologous peripheral blood mononuclear cells transfected with a siRNA that knocks down Cbl-b), with promising feasibility and safety in pancreatic, colorectal, and renal cell cancer [68].

Golan et al., in a phase 1 trial, studied the role of siR-NAG12D-LODER™ targeting KRAS G12D in combination with chemotherapy in locally advanced pancreatic cancer. The authors demonstrated a CA 19.9 decrease in 70% of patients, a median overall survival of 15.12 months, and an 18-month survival of 38.5%<sup>69</sup>.

Schultheis et al. designed a randomized phase 1/2 trial in which siRNA Atu027, which inhibits protein kinase N3 in vascular endothelium, was administered with two different schedules (0.235 mg/kg once or twice weekly), in combination with gemcitabine in pancreatic cancer, showing increased outcomes in metastatic disease [92].

In the trial NCT00466583, the LNA EZN-2968 directed against hypoxia-inducible factor 1 $\alpha$  was evaluated in solid tumors and lymphoma. NCT00285103 is a phase 1/2 trial in which the activity of SPC2996, an LNA targeting Bcl-2,

was evaluated in chronic lymphocytic leukemia. To our knowledge, no results from these two clinical trials have been published to date, despite the studies concluding in 2011 and 2012, respectively.

### Ongoing or suspended trials

From our systematic review, 7 studies emerged as ongoing and 1 as suspended trial. Five of these trials are investigating siRNAs, 3 small activating RNAs (saRNAs) and one miRNA: *i*) the phase 1 trial NCT01591356 is conducted in various solid neoplasms and investigates the effect of a siRNA, delivered via neutral liposome (1,2-dioleoyl-sn-glycero-3-phosphatidylcholine or DOPC), targeting EphA2, a receptor belonging to ephrins' family; *ii*) NCT04675996 is a phase 1 trial in which INT-1B3, a LNP formulated miRNA (miR-193a-3p) mimic, is investigated in advanced solid neoplasms. This trial was suspended for insufficient fundings; *iii*) NCT05499013 is a phase 1/2 trial investigating SLN124, GaLNAC conjugated 19-mer siRNA that targets tmprss6, in polycythemia vera; *iv*) the phase 1 trial NCT03608631 evaluates mesenchymal stromal cells-derived exosomes with a siRNA directed against KRAS G12D (iExosomes) in pancreatic cancer; *v*) NCT03819387 is a phase 1 trial that investigates siRNA NBF-006, targeting Glutathione *s*-transferase Pi (GSTP), in pancreatic, colorectal and non-small cell lung cancer; *vi*) NCT04844983 phase 2 trial focuses on siRNA STP705, directed against transforming growth factor-beta 1 (TGF-beta 1) and cyclooxygenase-2 (COX-2), in squamous cell carcinoma in situ. The treatment with saRNA MTL-CEBPA is under investigation in the phase 1 trial NCT04105335, in combination with pembrolizumab in advanced solid tumors *vii*), and in the comparative phase 2 trial NCT04710641 in combination with sorafenib versus sorafenib alone in HCC *viii*).

### Trial with unknown status

Among the trials of unknown status, NCT01676259 is a study investigating siG12D-LODER, miniature biodegradable bio polymeric matrix that encompasses a siRNA directed against KRAS G12D, in combination with chemotherapy, in pancreatic cancer.

### Conclusions

NcRNA therapeutics require complex interdisciplinary investigation to develop optimal RNA-based agents for clinical evaluation, including assessments of pharmacokinetics, pharmacodynamics, biomodulatory activity, off-target effects, safety, and anti-tumor efficacy. Significant pre-clinical advancements are now being translated into clinical trials, with the success of these translations closely linked to the safety, specificity, and delivery systems of new agents. Early trials in antagonizing miRNAs are encouraging, showing promising results in terms of

primary endpoint achievement, including safety, preliminary antitumor activity, and effectiveness in biomodulatory activity. An additional crucial advantage is the affordable scale-up and the potential to rapidly produce enhanced second-generation therapeutics. This opens an exciting scenario for the development of ncRNA therapeutics, either as single agents or in combination with conventional drugs to overcome resistant/refractory disease. We believe our systematic review, provides novel information and a comprehensive view of the current landscape. This can help understand the advantages and limitations of ncRNA therapeutics in clinical use. A major challenge in early experimental therapeutics is the heterogeneity in study design, route of administration, biomonitoring, and endpoint definition, necessitating strong cooperative efforts. Nevertheless, the emerging scenario is highly promising, and significant advancements are expected in the near future across all pioneering areas of RNA therapeutics.

### Abbreviations

ASO	Antisense oligonucleotide
CCA	Cholangiocarcinoma
circRNAs	Circular RNAs
EMA	European Medicines Agency
FDA	Food and Drug Administration
GBM	Glioblastoma Multiforme
HCC	Hepatocellular Carcinoma
ICI	Immune Checkpoint Inhibitors
IND	Investigational New Drug
LNA	Locked Nucleic Acid
LNP	Lipid Nanoparticle
lncRNAs	Long Non-Coding RNAs
miRNA	MicroRNA
MTD	Maximum Tolerated Dose
ncRNA	Non-Coding RNA
NMPA	National Medical Products Administration
PICO	Patient/population, Intervention, Comparison and Outcomes
PNA	Peptide Nucleic Acid
PNP	Polypeptide Nanoparticle
saRNA	Small Activating RNA
siRNA	Small Interfering RNAs
SNA	Spherical Nucleic Acids
ssASO	Single-Strand ASO
TCR	T cell receptor

### Supplementary Information

The online version contains supplementary material available at <https://doi.org/10.1186/s12967-024-05554-4>.

Supplementary Material 1

### Acknowledgements

N/A.

### Author contributions

KG and GC contributed to conception, design and revision of the manuscript sections, wrote sections of the manuscript and created Figs. 2 and 3. FL and AC performed the two independent systematic review, wrote sections of the manuscript and created Fig. 1; Table 1. MTDM wrote sections of the manuscript. PTag. Critically revised the manuscript and the protocol of the systematic review. PTas conceived and supervised the manuscript by

providing critical feedback and revisions. The authors read and approved the final version of the manuscript.

#### Funding

This work has been partially supported by the Italian Association for Cancer Research (AIRC) Investigator Grant, Project N. 21588, PI: P. Tassone.

#### Data availability

N/A.

#### Declarations

##### Ethics approval and consent to participate

Not applicable (N/A).

##### Consent for publication

N/A.

##### Competing interests

The authors declare that they have no competing interests.

Received: 22 May 2024 / Accepted: 28 July 2024

Published online: 05 August 2024

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